

Lecture 1: Resolution in characteristic 0 — why does it work?

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Based on work with Michael Tëmkin and Jarosław Włodarczyk

With support by BSF, NSF

parallel work by Marzo and McQuillan

Kähler Geometry, algebraic and arithmetic geometry,
hyperbolicity

UQAM, Montreal, PQ, Canada,

August 24-28, 2026

Embedded resolution

- Work over $\mathbb{C}$. The main result is **Hironaka's** theorem.
- Given: singular closed subvariety X inside Y smooth.
- An **embedded resolution** is $f : Y' \rightarrow Y$ where
 - ▶ f is proper birational, and Y' smooth;
 - ▶ f is an isomorphism away from $\text{Sing}(X)$;
 - ▶ The proper transform X' of X is smooth.

Theorem (Hironaka 1964)

Such exists.

- I allow Y' and X' to be **stacks** (namely “moduli spaces”)

Blowups

- I treat blowups following Rees, Fulton-MacPherson, Reid, Cox, Włodarczyk, Quek-Rydh.
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- Let us blow up $\bar{J} = (x_1, \dots, x_k)$ on $Y = \operatorname{Spec} k[x_1, \dots, x_n]$.
- Define the **extended Rees algebra**
 $\tilde{R} := \mathcal{O}_Y[s, x'_1, \dots, x'_k] / (x_1 - s x'_1, \dots, x_k - s x'_k)$
- It has **transformed variables** x'_i and **exceptional variable** s .
- Its spectrum $B := \operatorname{Spec}_Y \tilde{R}$ is the **degeneration to normal cone of $\bar{J}$** ,
- with **vertex** $V(x'_1, \dots, x'_k)$.
- $\mathbb{G}_m$ acts on B via $t \cdot (s, x'_1, \dots, x'_k) = (t^{-1}s, tx'_1, \dots, tx'_k)$.
- The action stabilizes V , and the action on $B_+ := B \setminus V$ is **free**.
- We finally define $Bl_{\bar{J}}(Y) := B_+ / \mathbb{G}_m$.

Wait — what??

How is this related to the classical description?

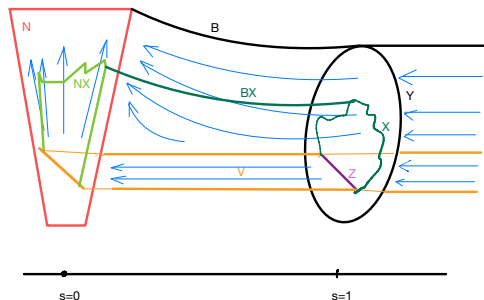
- $\tilde{R} := \mathcal{O}_Y[s, x'_1, \dots, x'_k] / (x_1 - s x'_1, \dots, x_k - s x'_k)$
 - $V(x'_1, \dots, x'_k), \quad B_+ := B \setminus V$
 - $\mathbb{G}_m$ acts on B via $t \cdot (s, x'_1, \dots, x'_k) = (t^{-1}s, tx'_1, \dots, tx'_k)$.
 - $BL_J(Y) := B_+ / \mathbb{G}_m$.
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- In the affine chart $\{x'_i \neq 0\} \subset B_+$ we have a $\mathbb{G}_m$ -slice $x'_i = 1$:
- $x_i = s \rightsquigarrow x_j = x_i x'_j$, which describes the usual charts

Exercise

Verify this claim!

Picture



B is the **degeneration to normal cone**.

$s = 1$: just Y containing $Z := V(\bar{J})$

$s = 0$: $N_Z Y$

Action indicated with arrows

Also indicated what happens to a closed $X \subset Y$ containing $Z = V(\bar{J})$

Weighted blowups (Włodarczyk, Quek-Rydh.)

- $\bar{J} = (x_1^{1/w_1}, \dots, x_k^{1/w_k})$ on $Y = \operatorname{Spec} k[x_1, \dots, x_n]$.
 - $\tilde{R} := \mathcal{O}_Y[s, x'_1, \dots, x'_k] / (x_1 - s^{w_1} x'_1, \dots, x_k - s^{w_k} x'_k)$
 - $B := \operatorname{Spec}_Y \tilde{R}$ with vertex $V(x'_1, \dots, x'_k)$.
 - $\mathbb{G}_m$ acts on B via $t \cdot (s, x'_1, \dots, x'_k) = (t^{-1}s, t^{w_1} x'_1, \dots, t^{w_k} x'_k)$.
 - Action stabilizes V , action on $B_+ := B \setminus V$ has finite stabilizers.
 - $Bl_{\bar{J}}(Y) := [B_+ / \mathbb{G}_m]$.
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- the $\mathbb{G}_m$ -slice $x'_i = 1$ is stabilized by μ_{w_i} :
- $x_i = s^{w_i} \rightsquigarrow x_j = s^{w_j} x'_j$, not the usual charts.

Weighted blowups — global meaning

- $\bar{J} = (x_1^{1/w_1}, \dots, x_k^{1/w_k}) \iff$ monomial valuation $v_{\bar{J}}(x_i) = w_i$.
- $\tilde{R} = \bigoplus_{a \in \mathbb{Z}} R_a$, $R_a := \{f \in \mathcal{O}_Y : v_{\bar{J}}(f) \geq a\}$
- $V = V(\tilde{R}_+)$, $\tilde{R}_+ := (\bigoplus_{a > 0} R_a)$.

Singularity invariants

- A **singularity invariant** is a rule assigning to a singular embedded variety a function, $X \subset Y \rightsquigarrow \text{inv}_X : |X| \rightarrow \Gamma$ satisfying
 - ▶ Γ is a well-ordered set,
 - ▶ inv_X is upper-semicontinuous, and
 - ▶ $\text{inv}_X(p) = \min \Gamma \iff p \in X$ is nonsingular.
- The invariant is **functorial** if whenever $\phi : Y_1 \rightarrow Y$ is smooth, with $X_1 = \phi^{-1}X$, we have

$$\text{inv}_{X_1}(p_1) = \text{inv}_X(\phi(p_1)).$$

- **Smooth invariant** if the maximal locus

$$\{p \in X : \text{inv}_X(p) \text{ is maximal} \}$$

in X is smooth.

Criterion

Assume we have a smooth, functorial singularity invariant inv .

Lemma

- ① Fix $X \subset Y$ and assume we have a center

$$\bar{J} = (x_1^{1/w_1}, \dots, x_k^{1/w_k})$$

on Y which satisfies

- (a) $V(\bar{J})$ is the maximal locus of inv_X on X , and
- (b) $\max \text{inv}_X = \max \text{inv}_{B\bar{J}X}$.

Then

$$\max \text{inv}_{B_{\bar{J}}(X)} < \max \text{inv}_X.$$

- ② Assume a center $\bar{J}$ satisfying these conditions exists for every resolution situation $X \subset Y$. Then resolution of singularities holds.

Proof of criterion

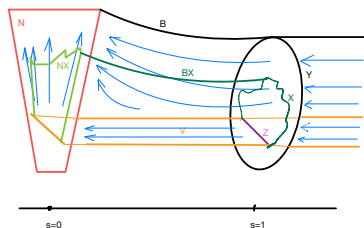
Proof of 2: denoting $X' = Bl_J(X)$, and then $X^{(n)} = Bl_{J_{X^{(n-1)}}}(X^{(n-1)})$, the invariants drop, and must stop when $X^{(n)}$ is smooth.

Proof of 1:

Claim: The maximal locus W equals V .

With this, the invariant on B_+X drops. But $B_+X \rightarrow X'$ is smooth, so the invariant on X' drops.

Proof of claim



- $\{s \neq 0\} \rightarrow Y$ is smooth. So by functoriality and (a), $W \cap \{s \neq 0\} = V \cap \{s \neq 0\}$, with maximal invariant as that of X .
- By (b) the maximal invariant on B is the same, so by upper-semicontinuity and smoothness V is a connected component of W .
- By functoriality any point $b \in N_Z \cap W$ comes along with its orbit.
- The limit point of the orbit lies in V , contradicting that V is a connected component of W .

Resolution exists

Theorem (K-Tëmkin-Włodarczyk)

In characteristic 0, a smooth functorial singularity invariant and, for every $X \subset Y$, a center $\bar{J}$ satisfying (a) and (b) exist.

- In particular, by 2 resolution exists.
- I am not aware of a proof of Hironaka's theorem going through such criterion without weighted blowups.
- Let us see some examples.

Weighted blowup of Whitney's umbrella $X = V(x^2 - y^2z)$.



- The most singular point is the origin $p = V(x, y, z)$,
- x, y, z appear in degrees 2, 3, 3 respectively.
- $\text{inv}_p = (2, 3, 3)$, and $J = (x^2, y^3, z^3)$,
- *reduced center* $\bar{J} = (x^{1/3}, y^{1/2}, z^{1/2}) = J^{1/6}$ which we can blow up.

Exercise

Blow up and analyze the result.

Exercise

Draw the Newton polygon of $X = V(x^2 - y^2z)$ and of the center (x^2, y^3, z^3) . What can you surmise on their relationship?

Standard blowup of Whitney's umbrella

Consider the same X but with the blowup but with $\bar{J} = (x, y, z)$.

Exercise

Do this blowup!

Resolving $X = V(x^5 + x^3y^3 + y^{100})$

Exercise

Draw the Newton polygon of $X = V(x^5 + x^3y^3 + y^{100})$ and of the center (x^2, y^3) . What can you surmise on their relationship?

Exercise

Blow up X along $\bar{J} = (x^{1/3}, y^{1/2})$